

#3

Docket No. 264520US0PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Karl HAEBERLE, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/08095

GAU:

FILED: Herewith

EXAMINER:

FOR: WATER EMULSIFIABLE ISOCYANATES HAVING IMPROVED PROPERTIES

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).


## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

264520US0PCT

SERIAL NO. 10/522719  
New U.S. PCT Application  
Based on PCT/EP03/08095

## - LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Karl HAEBERLE, et al.

FILING DATE

Herewith

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AE	01 38415	05/31/01	WO (equivalent of US 6472493, US 2003/0069381 & US 2003/0069382)		NO
	AF	0 486 881	05/27/92	EP (equivalent of US 5387367)		NO
	AG	0 959 087	11/24/99	EP (equivalent of US 6426414)		NO
	AH	0 206 059	12/30/86	EP (equivalent of US 4663377)		NO
	AI	0 540 985	05/12/93	EP (equivalent of US 5252696)		NO
	AJ	199 58 170	06/07/01	DE (with English abstract & equivalent of EP1276787 - with English abstract)		NO
	AK	198 22 890	11/25/99	DE (equivalent of US 6204323)		NO
	AL	198 47 077	11/25/99	DE (equivalent of US 6426414)		NO
	AM	0 754 713	01/22/97	EP (equivalent of US 5780542)		NO
	AN	100 07 820	08/23/01	DE (equivalent of US 6384175)		NO
	AO	41 13 160	10/29/92	DE (equivalent of US 5473011)		NO
	AP	0 728 785	08/28/96	EP (equivalent of US 5731396)		NO
	AQ	40 01 783	07/25/91	DE (equivalent of US 5098983)		NO
	AR	0 548 669	06/30/93	EP (equivalent of US 5334637)		NO
	AS	0 582 166	02/09/94	EP (equivalent of US 5503714)		NO
	AT	0 531 820	03/17/93	EP (equivalent of US 5258452)		NO
	AU	197 24 199	12/10/98	DE (with English abstract & equivalent of WO 98/56843 - with English abstract)		NO
	AV	0 703 255	03/27/96	EP (equivalent of US 5583176)		NO
	AW	0 697 424	02/21/96	EP (equivalent of US 5587421)		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AX	Edited by STOYE, Dieter et al. "Lackharze: Chemie Eigenschaften Anwendung", Hanser, pages 195-196 1996
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

New U.S. PCT Application Based on PCT/EP03/08095  
Docket No.: 264520US0PCT

STATEMENT OF RELEVANCY

- 1) References AE-AG have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AH-AX are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.